

#6  
6-112

PATENT  
492322002600

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on May 28, 2002.

*Hsiao-Ting Cheng*  
Hsiao-Ting Cheng

RECEIVED  
MAY 29 2002  
TECHNOLOGY CENTER 2800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Hirotoishi KUBO et al.

Serial No.: 10/016,142

Filing Date: December 17, 2001

For: SEMICONDUCTOR DEVICE AND  
MANUFACTURING METHOD  
THEREOF

Examiner: Not yet assigned

Group Art Unit: 2811

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR 1.97

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

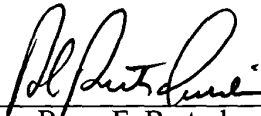
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 492322002600. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

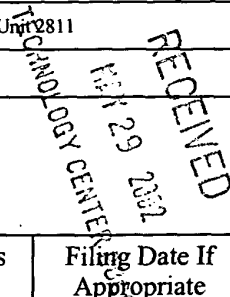
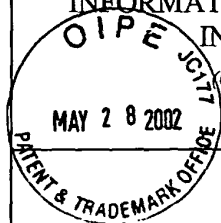
Dated: May 28, 2002

Respectfully submitted,

By:   
Barry E. Bretschneider  
Registration No. 28,055

Morrison & Foerster LLP  
2000 Pennsylvania Avenue, N.W.  
Washington, D.C. 20006-1888  
Telephone: (202) 887-1545  
Facsimile: (202) 263-8396

Form PTO-1449 <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>	Docket Number 492322002600	Application Number 10/016,142
	Applicant Hirotooshi KUBO et al.	
	Filing Date December 17, 2001	Group Art Unit 2811
	Mailing Date May 28, 2002	



## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	2/18/1997	5,604,374	Inou et al.			3/7/1995

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO	
	2.	6/8/1999	JP 11-154683	Japan			X (Abstract)	
	3.	3/31/2000	JP 2000-091565	Japan			X (Abstract)	
	4.	9/14/2000	JP 2000-252290	Japan			X (Abstract)	

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.